

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, DC 20231



Attorney Docket: 1449P  
**PATENT**

Sir:

Transmitted herewith for filing is the Patent Application of

Inventor(s): **Simon X.F. Ca**

For: **REFLECTION-TYPE OPTICAL CIRCULATOR UTILIZING A LENS AND BIREFRINGENT PLATES**

Enclosed with the Patent Application are:

- ☒ Nine (9) sheets of Informal Drawings
- ☒ Declaration and Power of Attorney
- ☒ Assignment and Recordation Form
- ☒ Small Entity Status Declaration
- ☒ Information Disclosure Statement and 25 references
- ☒ Self Addressed, Stamped Postcard

The filing fee has been calculated as shown below:

(Col. 1) (Col. 2)

FOR:	NO. FILED		NO. EXTRA
BASIC FEE			
TOTAL CLAIMS	37 - 20	=	17
INDEP. CLAIMS	9 - 3	=	6
_ MULTIPLE DEPENDENT CLAIM PRESENTED			

SMALL ENTITY

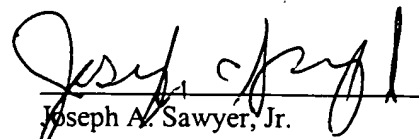
RATE		FEE
		\$ 380.00
x 9	=	\$ 153.00
x 39	=	\$ 234.00
+250	=	\$
TOTAL		\$767.00

\*If the difference in Col. 1 is less than "0", enter "0" in Col. 2

☒ Please find enclosed Check No. 11628 in the amount of \$ **767.00** for payment of application filing fees.  
A duplicate of this sheet is attached.

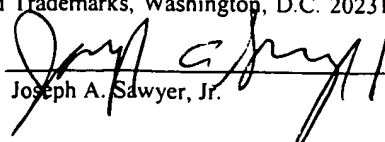
SAWYER & ASSOCIATES  
P.O. 51418  
Palo Alto, California 94303  
(650) 493-4540

Respectfully submitted,

  
Joseph A. Sawyer, Jr.  
Attorney for Applicants  
Reg. No. 30,801

EXPRESS MAIL CERTIFICATE

I hereby certify that the above paper/fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on September 23, 1999, and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231. "Express Mail" no.: **EL272672871US**. Signature of Person mailing paper/fee:

  
Joseph A. Sawyer, Jr.

The rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

NAME OF PERSON SIGNING:

Simon X.F. Cao

TITLE OF PERSON OTHER THAN OWNER:

President

ADDRESS OF PERSON SIGNING:

42501 Albrae Street

Fremont, California 94583

SIGNATURE: \_\_\_\_\_



DATE: \_\_\_\_\_

9/2/99

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